What Can Be Learned from Small (and Micro) States?
‘Educational Geostrategic Leveraging’ and the Mechanisms of the Fourth Industrial Revolution – the Internet of Things and Disruptive Innovation

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**Abstract**

This paper explores how certain global mechanisms of the so-called fourth industrial revolution – the internet of things and disruptive innovation – impact the educational governance activities, social forms of coordination, and scales in small (and micro) states. We advance that there are certain 'behavioral characteristics' that small (and micro) states possess that can teach us about dealing with some of the current global challenges. We suggest to move away from seeing small (and micro) states as being exclusively vulnerable and, rather, to re-conceptualize smallness as a potential strength. In line with this argument, we argue that the geometries of vulnerability are giving rise to what we call educational geostrategic leveraging, i.e. the use of soft power grounded in strategic-level bargain and cooperation at the national level to achieve regional consensus. It is in this context that we suggest that educational geostrategic leveraging is emerging as a component of collaboration and cooperation at the regional and other levels.

This paper has three sections that seek to explore how certain global mechanisms impact educational governance activities, social forms of coordination, and scales in small open economies, small (and micro) states and small islands developing states (SIDS) – terms that are often used interchangeably – and how these states respond to these mechanisms. By educational governance activities we mean e.g. the funding, provision, regulation, and ownership of education; social forms of coordination particularly implying the state, the market, the community, and the family; and scale refers to the supranational, national, regional and subnational levels in the field of education (Dale, 1997; 2005).

In this paper, we do not view state size as a monolithic category. Instead we suggest that current global developments in the realm of education in the wake of the so-called fourth industrial revolution apply to small (and micro) and to non-small states alike. Here the professed fourth industrial revolution implies the amalgamation of technologies across several fields – such as health, transport, and education – that blur the lines between reality and cyberspace in an era premised upon high technology and a demand economy. We advance that there are certain 'behavioral characteristics' that small (and micro) states possess that they can teach us about dealing with some of the policy challenges of the fourth industrial revolution. In making these assumptions, we draw upon the concept of "mechanisms of external policy influence" (Dale, 1999) to explain how fourth industrial revolution mechanisms are reshaping the broader social, economic and cultural context of the "politics of education," (Dale, 1998), thus giving rise to particular education policies. Given the historical assumptions found in robust literature on educational developments in small (and micro) states and mechanisms of external effects driven by a changing global environment, we seek to understand if there is something like 'typical small state' behavior in education.

In particular, we are suggesting that small states rely upon their strategic capacities to act rather 'big' in certain areas, while 'big' states often act rather 'small.' We are also advocating that this way of thinking expands our understanding of the characteristics of small states – and by implication of 'big' states as well –, especially regarding what is general and what is particular about their 'behavior.' Prevailing definitions of small (and micro) states are often based on 'hard' formal criteria like population size and economic performance, etc. We argue that alternative definitions are now needed for conceptualizing the behaviors of small (and micro) states. Such definitions have to operate with 'soft' criteria, such as collective self-perceptions, external attributions, and others that do not treat states as homogeneous entities based on formalistic criteria. Thus, states that are 'big' by 'hard' formal criteria may behave rather 'small,' while small states (again by 'hard' formal criteria) may act rather 'big.' Thus, widening conventional notions of smallness expand our scope for comparison as well as our understanding of both small and big states – especially regarding what is general and what is particular about their 'behavior.'

In what follows, we first contextualize the changing geometries of the movement towards the fourth industrial revolution premised upon horizontal coordination that are now increasingly shaping the governance of national education systems. Second, we will redraw the geometries of the existing research on small (and micro) states in a global environment that is being strategically realigned, influenced by e.g. the rise of state-capitalism in China, cross-
hemispherical affairs, and the pausing of economic globalization or what has been called the “gated global” (Economist, 2013; Jules, 2015a), as countries retreat to protectionist policies. We suggest to move away from seeing small (and micro) states as being exclusively vulnerable and, rather, to re-conceptualize smallness as a potential strength. Finally, we explore how small (and micro) states in reconfiguring the geometries of vulnerability are giving rise to what we call educational geostrategic leveraging, i.e. the use of soft power grounded in strategic-level bargain and cooperation at the national level to achieve regional consensus. We conclude by suggesting that research needs to emerge on small (and micro) states that warrants new conceptual and methodological approaches that move away from the vulnerability trap and highlight the strengths of small (and micro) states.

New Geometric Mechanisms in Education

Conceptualizing the mechanisms of external policy influence, Dale (1999) makes a distinction between certain conventional mechanisms (e.g. policy borrowing and policy learning) and new mechanisms (e.g. teaching, harmonization, dissemination, standardization, installing interdependence, and imposition) while still cautioning that both categories may work simultaneously in shaping education policy. However, we draw attention to the disruptive nature of globalization upon national education systems. While mechanisms may affect several aspects of national educational systems, they do not necessarily lead to the “identical imposition of the same policy on all countries” (p. 2). Dale (1999) further suggests that since mechanisms are not unbiased, it is important to identify the particular effects of each mechanism on particular national education systems. This particularly true in the case of small (and micro) states, as these states mostly have an extensive history of dealing with external pressures that is often tied to their geographic or economic size.

The transition from the knowledge-based economy to the fourth industrial revolution or what is often called “capitalism 3.0” (Barns, 2006) or “globalization 3.0” (Friedman, 2005) is reshaping the mechanisms of external effects, which are in turn influencing the dynamics of national labor markets and the scalar division of labor of educational governance. At the recently concluded World Economic Forum, Schwab (2016) in discussing the rise of the fourth industrial revolution reminds us that the first industrial revolution, in the late 18th century, was driven by mechanized production and powered by water and steam. The second industrial revolution, one hundred years later, relied inter alia upon the division of labor and used electric power to facilitate mass production. Again one hundred years later, the third industrial revolution automated production through electronics and information technology. Now, the fourth industrial revolution expands upon the digital revolution of the third industrial revolution by using cyber-physical systems that blur the lines between the physical, digital, and biological spheres. As such, the fourth industrial revolution and its ensuing mechanisms have the potential to revolutionize national education systems for good or for worse.

The fourth industrial revolution suggests that in education we might see new “mechanisms of parallel organization,” operating on the basis of multilevel consensus, often functioning side-by-side with traditional [educational] bureaucracy” (Heckscher & Applegate, 1994, p. 2). The two mechanisms of external effects of the fourth industrial revolution that are likely to impact national education developments particularly are: (i) “disruptive innovation” (Christensen, 2013), i.e. the displacement of historical static systems, and (ii) the “Internet of Things” (Ashton, 2009), i.e. the movement away from human-to-human or human-to-computer interaction (Abu Mezied, 2016; Schwab, 2016). In essence, fourth industrial revolution mechanisms are obviously slowly

Notes

1. Friedman (2005) argues that globalization 1.0 commenced with the opening of trade routes between ‘old’ and ‘new worlds from the fifteenth-century to the nineteenth-century. Globalization 2.0, although interrupted by the great depression and two World Wars, is dated from turn of nineteenth-century to the end of the millennium.

2. In 1999 Kevin Ashton coined the term Internet of Things to explain a new type of internet whereby we “empower computers with their own means of gathering information, so they can see, here and smell the world for themselves, in all its random glory” (Ashton, 2009, p. 1). While the European Union embraced the concept in 2009 with the creation of the European Internet of Things Research Cluster (IERC), it was not until the creation of the digital single market in 2015 that the concept gained wider recognition.
dislodging Dale’s (1999) mechanisms of external effects – i.e. policy harmonization, dissemination, standardization, installing interdependence, and imposition – since they are becoming part of the ‘orthodoxy’ as educational systems are increasingly responding to changing dynamics of globalization. However, fourth industrial revolution mechanisms are working simultaneously with the older mechanisms, posited by Dale (1999), in shaping national developments in education policymaking. In light of the arrival of fourth industrial revolution mechanisms, there are lessons to be learned from how small (and micro) states have dealt with the earlier mechanisms posed by globalization.

We challenge orthodox ‘vulnerability assumptions’ made about the behavior of small (and micro) states and argue that small (and micro) states should be treated with much more discretion. Small (and micro) states should much more than hitherto be viewed as having strengths rather than exclusively in terms of their vulnerability. In 2015, for example, certain small (and micro) states were consigned to the frontline leading up to the signing of the Sustainable Development Goals. As usual, global attention was given to their ‘special vulnerabilities’ – given that they have historically been disproportionately challenged for sustainable development related to their geography, small size, and physical isolation (Cohen, Hermosilla, Espinel, & McLean, 2016; Soobratty, 2015; Veenendaal & Wolf, 2016) – while little or no attention was placed upon ‘what can be learned from small (and micro) states’ in a changing global environment driven by complex interdependence and disruptive innovation.

**Re-(drawing) the Geometries of the Vulnerability Trap**

The characteristics of small state behavior have been a neglected area of research in education practically during both ‘first and second generation studies’ (Jules & Ressler, forthcoming). On the one hand, small (and micro) states are more visible today on the international stage due to their perceived vulnerability. On the other hand, they are mostly categorized collectively as potential recipients for ‘one size fits all’ global policies. ’First generation studies’ on educational developments in small (and micro) states have generally focused on the challenges these states face, chiefly underscoring numerous formulaic criteria: size, population, economic capacity, geographic propensity, autonomous jurisdiction, ecology, and others. These studies grew out of a focus on understanding how the perceived vulnerability and fragility of many small (and micro) states impact political and economic decisions (Briguglio, 1995; Bune, 1987; Demas, 1985; Kuznets, 1960; Holmes, 1976). In drawing attention to the perceived ‘behavioral’ characteristics of smallness, many of these studies illurninate scalar dynamics of smallness by drawing attention to “exaggerated personalism, limited resources, inadequate service delivery and donor dependence” (Sutton, 2006, p. 13) to explain the informal relationships and structures and the multi-functionalism smallness – implying that one person holds several different functions (see Christensen, 2013).

‘Second generation studies’ usually begin by identifying the strategic capacities of smallness, while at the same time recognizing the consequences of the fragilities and vulnerabilities many small (and micro) states indeed display. Attention is given to analyzing the self-projections of small states, particularly when this self-projection provides greater diplomatic leverage (Balducchino, 2000). In fact, the public administration literature makes no distinction between big and small. However, a lot has been written about the strategic capacity of small (and micro) states and that they “typically reflect derivations of the Weberian model […] and its principles exhibit adaptations in features like greater personalism, less lourdeur administrative and more informal policy co-ordination” (Connaughton, 2010, p. 111). However, this ‘deficit discourse’ is premised upon the perceived inability of many small (and micro) states to develop specialized institutions (Balducchino, 2012; Jules 2012a). Also, several authors have identified that small size (economically or geographically) may also provide advantages, such as strategic flexibility (Balducchino & Bertram, 2009) or the development of economies of scale that outperform centennial countries (Armstrong, de Kervenoael, Li, & Read, 1998). Other authors, recognizing the advantages that small (and micro) states can leverage to accomplish reforms that bureaucratic behemoths of big states dream of doing, suggest moving away from a deficit view: “[S]mall (and micro) states have been rendered synonymous to chronically vulnerable and problematic territories for which aid, assistance and especially favourable deals are legitimate” (Balducchino, 2012, p. 237). Instead, Jules (2012) suggests moving towards a “posteriori conceptualization [of smallness that focuses] on what it means to empirically study small (and micro) states rather than what it means to be identified as a small state” (p. 7). Second generation studies do not pigeonhole their analysis to nominal concepts, hard criteria, and the perceived handicaps of small states. The realization is that smallness may be both an asset and a liability of the changing nature and the role of the nation state, especially in emerging and frontier markets, and in the advancement of new hemispherical and regional players, such as custom unions, regional trade agreements and “trans-regional regimes” (Jules, 2008). The new realities, state reconstruction and hemispherical assemblages are becoming ever more important, as “globalization fosters intra- and inter-regional cooperation as it redistributes the importance to regions” (Reiterer, 2009, p. 181).

Moreover, small (and micro) states do not always fit well within the global development targets spelled out e.g. in the Jomtien Framework, the Dakar Framework for Action, and the Millennium Development Goals (MDGs) that dominated the policy cycles of the 1990s and 2000s as well as the recently signed Sustainable Development Goals. This is, however, not necessarily their ‘fault,’ for global education targets are often too reductionist and
of these states are strategic innovators in battling their perceived faith of vulnerability and fragility (Easter, 1999; Guillaumont, 2010; Philpot, Gray, & Stead, 2015). In fact, it is widely acknowledged that "islandness" or land lockedness has virtually no impact on the economic performance of microstates [...] and the early pessimistic tone of much of the research literature has now receded" (Armstrong & Read, 2000, pp. 288-289).

In line with these arguments, we suggest that many small (and micro) states are using their strategic capacity in the form of "geostrategic thinking," i.e. they tactically use their smallness when it provides strategic leverage, particularly in the area of educational cooperation.

**Re-(framing) Spatial Geometries in an Era of Horizontal Coordination**

Disruptive innovation and the Internet of Things (IoT) are considered the two most influential mechanisms of external effects of the fourth industrial revolution by many. Both of these emerging mechanisms are likely to reshape the fundamental dynamics of national educational developments in the coming decades. While we are not in the position to foresee their potential consequences, given their emergent nature, we discuss their ascendancy in the context of how certain small (and micro) states have responded in the past to "existential threats" (Girvan, 2010), ranging from climate change and transnational crime to food security and governance challenges.

First, complex interdependence has emerged as certain challenges to national education systems and sectors have occurred, an interdependence that renders issues in the global governance architecture progressively vivacious. This was part of the horizontal realignment of state reforms during the 1980s when new public management (NPM) and two generations of neoliberalism profoundly transformed national education systems globally. These reforms have given way to the post-bureaucratic state now "defines objectives and oversees maintenance of the system management [...] [and] no longer wants to be seen as the sole provider of legitimate instruction" (Maroy, 2009, p. 78). Additionally, the internationalization in educational services, which is one out of twelve core service sectors under the General Agreement of Trade in Services (GATS), has created new promises and challenges for educational diplomacy.

Second, there is growing consensus that economic globalization has "paused" owing to the proliferation of regional trading agreements (RTA) and tendencies towards an innovative form of protectionism. As Kjellén (2008) suggests, "we have entered a new era of international cooperation and [...] the boundaries of traditional diplomacy – concentrated on national security and economic and commercial matters – are being extended to a much broader concern for global sustainability." (p. 2). It is within this changing geo-strategic global climate that educational development in small (and micro) states is caught in the middle of the shift from established asymmetrical power relationships of center-periphery models to a different kind of multi-polarity that is denominated by non-traditional actors that play an increasingly prominent role alongside nation-states in determining national education priorities. An interesting example of this far-reaching development is the shift from "inter-regionalism," i.e. the relationship between two separate regions, to "trans-regionalism," i.e. common ‘spaces’ between and across regions in which constituent agents (e.g. individuals, communities, organizations) interact within (Dent, 2003). This happens as trade relations move away from "old" or "closed regionalism," which is premised upon intra-regional and bilateral trade, to "new" or "open regionalism," which
advocates internationally competitive outward-oriented strategies (Kuwayama, 1999; McBrien, 2001), reduces external import barriers (Wei & Frankel, 1995), decreases intra-regional transactional costs (Fernandez, 1997; Reynolds, 1997), liberalizes intra-regional markets (Kuwayama, 1999), and restructures the public sector (Sutton 2006; Bishop & Payne, 2010), amongst other things. Moreover, to facilitate the growth of “new regionalism,” there is now a trend towards creating “formal mechanisms” (Dale, 1999) to deal with transaction costs. Transaction costs refer to all resources that are spent in negotiation efforts, including time, personnel, money, prestige, and even power (Jules & Sa e Silvia, 2008). Overall, the regional level now has the role of providing “coordination of coordination of funding, provision and regulation of education” (Dale, 2009, p. 11) through policy exchange at the multi-governance level.

Third, the dynamics of emergent technological innovations in an era of increased competition are giving rise to rapid changes in fields such as artificial intelligence, robotics, autonomous vehicles, 3-D printing, nanotechnology, biotechnology, materials science, energy storage, and quantum computing. These innovations are also reshaping education through disruptive innovation—e.g. the utilizing of interconnected computing devices, mechanical and digital machines, objects, animals or people—and the Internet of Things. On the one hand, disruptive innovation is reshaping how businesses and other organizations function. Unlike sustaining innovation, which focuses on improving existing products, disruptive innovation creates innovative markets and products and reshapes entire industries, as occurred e.g. with television (Netflix), hotel (Airbnb), classified ads (Craigslist), phone calls (Skype), record stores (iTunes), research libraries (Google), local stores (eBay), taxis (Uber), and newspapers (Twitter) (see Economist, 2015). As Christensen, Horn, Caldera, and Soares (2011) note, “[Disruptive education] is the process by which a sector that has previously served only a limited few because its products and services were complicated, expensive and inaccessible, is transformed into one whose products and services are simple, affordable, and convenient and serves many no matter their wealth or expertise.” (p. 2)

Disruptive innovation is also making its ways into higher education, where it is for e.g. redefining traditional ways in which universities deliver content, curriculum, and teaching and gradually replacing them with new alternatives (Dennis, 2016; Robinson, Morgan, & Reed, 2016; Thompson, 2016). On the other hand, the Internet of Things (IoT), where ‘things’ are wirelessly connected via smart sensors (Ashton, 2009; Pretz, 2013), is a relatively new phenomenon that has expanded in several sectors, ranging from transportation and healthcare to the automotive industries (He, Yan, G, & Xu 2014; Joshi & Kim, 2013; Li, Xu, & Zhao, 2015; Pretz, 2013). Li, Xu, and Zhao (2015) argue that “the words ‘Internet’ and ‘Things’ mean an interconnected world-wide network based on sensory, communication, networking, and information processing technologies, which might be the new version of information and communications technology (ICT)” (p. 244). Numerous analysts argue that the IoT will bring vast societal changes and economic growth driven by the “ubiquitous connectivity and intelligence, where a set of components, products, service and platforms connects, virtualizes and integrates everything in a communication network for digital processing” (Friess & Riemenschneider, 2014, pp. 5-6), which in turn will connect people’s professional and private lives. It is the connectability and the harnessing of services across the IoT that are likely to greatly impact national educational developments as well. With the liberalizing and commercializing of all kinds of educational services under the so-called four modes of supply⁹ of the General Agreement on Trade in Services (GATS), which “rearticulate the nature and form of education and its governance through […] to make education systems and education provision within nation-states more amenable to a global accumulation strategy” (Robertson, Bonal, & Dale, 2002, p. 479), national systems are likely to increasingly become susceptible to the IoT. In commenting on the damage done in the wake of liberalizing education in small (and micro) states, Mayo, Pace, and Zammit (2008) suggest that distance learning “with its flexibility, individually tailored programmes and liability for yet another form of cultural invasion, occupies the space left vacant because of the non-existence of universities (potential providers of extension learning services and continuing education) in many small states” (p. 223). It is known that the IoT creates an “open, global network connecting people, data,
and things” through the “use of synergies that are generated by the convergence of Consumer, Business and Industrial Internet” (Vermesan, et al., 2014, p. 17). In education, the IoT has already given rise to new forms of interaction between teachers and students, e.g. by expanding teaching and learning processes and broadening the environments in which students learn (Marquez, Villanueva, Solarte, & Garcia, 2016). Thus, in education, the IoT implies a movement towards a “new ecology, [that will be] transformed by everything being connected” (Manu, 2015, p. 6).

In education, an ever increasing datafication (Ozga, 2009, Resnik, 2016) of policymaking decisions – c.f. ‘evidence-based’ and ‘evaluative state’ models that rely on league tables, rankings, and other international comparative target achievements (ICTAs) (Meyer & Benavot, 2013) – is expanding the “global education industry” by allowing new non-state actors to compete (Ball, 2012; Jules, forthcoming; Steiner-Khamsi, 2016). These new non-state actors (e.g. transnational corporations, civil society organizations, credit rating agencies, consultancies, and public-private partnerships) are changing the governance environment as they are increasingly contracted to deliver educational services and educational governance that were once provided by the state. Within this new educational reality, there is also a movement away from the development of certain skills once provided by the state. Within this new educational reality, there is also a movement away from the development of certain skills once provided by the state. Within this new educational reality, there is also a movement away from the development of certain skills once provided by the state.

Re-(configuring) Geometries for Small (and micro) states – Educational Geostrategic Leveraging

Small (and micro) states rely upon their strategic capacities to act rather big in certain areas, while big states often act rather small. Such capacities can e.g. be found in areas such as health and education coordination in the small (and micro) states of the Caribbean Community (CARICOM). For example, Jules (2012) shows that CARICOM countries in responding to HIV/AIDS, use the mechanisms of policy transfer to invoke

- […] new mutualism – that is a policy reaction in the form of a multi-sectoral approach, international target setting, and regional benchmarks […] as a way of providing a coordinated regional response to the epidemic, but also a way to engage in building a new regional educational space in the form of the Caribbean Educational Policy Space through mitigation of transactional costs, sharing of policy best practices and techniques, and dissemination of information. (p. 278)

Such a coordinated response to external effects highlights two things. First, the era of uncritical international policy transfer that was a core characteristic of the 1980s and 1990s in small and (micro) states is declining. This decline speaks to the movement from education politics – how actors define the field of education and ensure policies that are designed – to the politics of education – focusing on how the broader social, economic and cultural context produces particular state politics and education policies (Dale 1998). This implies that in education, small state behavior is atypical and often invoked during times of crisis. Thus, transformations in the global system simply play into the normative comparative advantages that small (and micro) states have, that is, the ability to leverage their bureaucratic and institutional flexibility. Many small states are therefore able to adapt better to endogenous and exogenous changes than many bigger states. In a changing global environment, many small (and micro) states are using their competitive strengths to mobilize the ‘politics of scale’ to diversify their post-colonial monocultural economies of scale by attracting investments from state-owned Chinese conglomerates. A behavioral characteristic of many small (and micro) states is their growing ability to develop resilience to perceived global threats and shocks. In this way, we are able to see how certain external effects create alternative forms of educational cooperation or “educational diplomacy” (Jules, 2016) grounded in soft power. The idea of ‘geostrategic thinking’ and ‘geostrategic leveraging’ by small (and micro) states is not at all new, but only now

Note

4. These include International Evaluation of Educational Achievement (IEA); International Adult Literacy Survey (IALS); Programme for International Student Assessment (PISA); Programme for the International Assessment of Adult Competencies (PIAAC); Progress in International Reading Literacy Study (PIRLS); Global Monitoring Report (GMR); First International Mathematics Study (TIMMS); Second International Mathematics Study (SIMS); Trends in International Mathematics and Science Study (TIMSS); and Teaching and Learning International Survey (TALIS).
is it spilling over to educational research. Another example of geostrategic thinking is how the proliferation of international law and intergovernmental institutions provides small (and micro) states with choices concerning the actors they want to work with, rather than having global lenders and donors impose these actors upon them (Geser, 1992; Hoffman, 2016).

Second, these new cooperative and collaborative endeavors within small (and micro) states in education are fostered in what we call educational geostrategic leveraging. It is grounded in strategic-level bargain and cooperation at the national level to achieve regional consensus and a facet of gated regionalism – i.e. the return to protectionist policies – to respond to protracted global governance. As the global architecture is being restructured with the return to protectionist policies at various levels (energized e.g. by the global recession, the so-called refugee crisis in European, sluggish growth in China, and an expansion of transnational terrorism), and the arrival of the fourth industrial revolution, many small (and micro) states are responding in unique ways. Not only is educational geostrategic leveraging built around a strategic capacity found in small states, but it is also driven by the mechanisms of external effects that are reshaping the global level. Educational geostrategic leveraging is built around networks of coordination and collaboration in that it is a “process-oriented mode of policy-making [that] amounts to a more structural mode of exerting influence since it allows in principle for the simultaneous extension of regulatory and organizational boundaries.” (Rhodes, 1997, p. 15). It is in this context that we suggest that educational geostrategic leveraging is emerging as a component of collaboration and cooperation at the regional and other levels. Educational geostrategic leveraging creates new horizontal spaces that operate within the unique contours small (and micro) states function within. In education, new innovations are changing traditional geometries of educational governance. Therefore, the fourth industrial revolution mechanisms provide us with the opportunity to better understand how small (and micro) states have responded to previous external threats and to reflect on what this means for our understanding of big states.

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